Dear Compliance Team,

Please accept this email as my formal stakeholder response to the **Consultation Paper on the Publication of the Compliance and Enforcement Annual Report (July 2026).**

I submit this response as an independent commercial electricity generator operating within the Northern Ireland Single Electricity Market.

Response to Question 2 – Anonymisation / Pseudonymisation

I do not support the routine anonymisation or pseudonymisation of compliance outcomes involving licensed electricity companies where regulatory investigations identify non-compliance or licence breaches.

Transparency is fundamental to maintaining confidence in the electricity market. Where a licensed entity has been found to have breached licence obligations or market rules, publication of the identity of that licensee enables market participants to understand regulatory expectations and promotes accountability.

While anonymisation may be appropriate in limited circumstances involving personal data or ongoing investigations, I consider that completed compliance outcomes should normally identify the relevant licensee.

Response to Question 4 – Publication of Initial Enquiry Stage Closures

I support publication of cases that are closed at the Initial Enquiry Stage through an agreed alternative resolution.

The consultation paper recognises that compliance activity extends beyond formal enforcement action. Publishing summary information about these cases would improve transparency, allow stakeholders to understand how compliance issues are being addressed, and strengthen confidence in the regulatory framework.

The report should, where appropriate, identify:

- the licence type involved;
- the nature of the potential compliance issue;
- how the matter was resolved;
- whether remedial action was required; and
- any lessons learned that may assist the wider market.

General Comments

My own experience has highlighted the importance of transparency in regulatory compliance processes. Where stakeholders cannot see how compliance concerns have been assessed or resolved, confidence in the regulatory framework is inevitably reduced.

For that reason, I welcome the Utility Regulator's proposal to publish a Compliance and Enforcement Annual Report and encourage the Regulator to adopt the greatest level of transparency consistent with legal obligations.

Publication of this Response

I **do** request confidentiality.

Yours faithfully,
Independent Commercial Generator