

## 2009/10 Registration of gifts, hospitality, awards, etc

### Gas Directorate

| Date of Offer | Offered to        | Ultimate recipient (if different) | Offered From               | Description of Offer              | Reason for Offer                                 | Details of Contracts - current or potential | Est. / actual value of offer £ | Action Taken i.e. Accepted / Refused / Returned |
|---------------|-------------------|-----------------------------------|----------------------------|-----------------------------------|--|---|--------------------------------|---|
| 01/05/09      | The Gas Branch    |                                   | Pipeline Industry Guild    | Dinner                            | Industry Event: Annual Dinner                    | None  | £70                            | All declined                                    |
| 08/07/09      | Roisin McLaughlin |                                   | Gaslink                    | Drinks Reception & Concert        | Industry Event: First Anniversary Celebration    | None  | £60                            | Declined  |
| 08/07/09      | Brian McHugh      |                                   | Gaslink                    | Drinks Reception & Concert        | Industry Event: First Anniversary Celebration    | None  | £10                            | Drinks accepted<br>Concert declined             |
| 08/07/09      | Cheryl Snoddy     |                                   | Gaslink                    | Drinks Reception & Concert        | Industry Event: First Anniversary Celebration    | None  | £50                            | Declined  |
| 10/09/09      | Brian McHugh      |                                   | BGE                        | 2009 Golf day                     | Industry Event: Annual Golf Day                  | Current                                     | £100                           | Declined  |
| 27/07/09      | Brian McHugh      |                                   | Gaslink                    | Dinner                            | Discussion following on from Single Code Meeting | None  | £50                            | Accepted  |
| 29/09/09      | Brian McHugh      |                                   | NIEH                       | Annual Dinner                     | Industry Event: Annual dinner                    | Current                                     | £70                            | Accepted  |
| 29/09/09      | Ian Davidson      |                                   | NIEH                       | Annual Dinner                     | Industry Event: Annual dinner                    | Current                                     | £70                            | Declined  |
| 29/09/09      | Richard Hume      |                                   | NIEH                       | Annual Dinner                     | Industry Event: Annual dinner                    | Current                                     | £70                            | Accepted  |
| 16&17/11/09   | Brian McHugh      |                                   | BGE & Storengy             | Dinner and Site Visit to Germigny | Fact finding visit re underground gas storage    | None  | £300                           | Declined  |
| 13/10/09      | Karen McConnell   |                                   | Waterfront Conference Hall | Tour and lunch                    | Encourage the use of Waterfront facilities       | None  | £10                            | Accepted  |

|            |              |  |          |                         |                               |         |     |          |
|------------|--------------|--|----------|-------------------------|-------------------------------|---------|-----|----------|
| 09/11/09   | Brian McHugh |  | NIEH     | Buffet lunch            | Launch of Mutual Energy       | Current | £10 | Accepted |
| 09/11/09   | Richard Hume |  | NIEH     | Buffet lunch            | Launch of Mutual Energy       | Current | £10 | Accepted |
| 09/11/09   | Ian Davidson |  | NIEH     | Buffet lunch            | Launch of Mutual Energy       | Current | £10 | Declined |
| 04/02/10   | Neil Bingham |  | Gemserve | Wine Tour               | Celebrate new offices         | Current | £20 | Declined |
| 23/04/2010 | Brian McHugh |  | FE       | Pipeline Industry Guild | Industry Event: Annual dinner | None    | £70 | Declined |
| 23/04/2010 | Paul Harland |  | FE       | Pipeline Industry Guild | Industry Event: Annual dinner | None    | £70 | Declined |

## Electricity Directorate

| Date of Offer | Offered To     | Ultimate Recipient (if different) | Offered From         | Description of Offer        | Reason for Offer                    | Details of Contracts - Current or Potential | Est /Actual Value of Offer £ | Action Taken ie Accepted / Refused / Returned |
|---------------|----------------|-----------------------------------|----------------------|-----------------------------|-------------------------------------|---|------------------------------|---|
| 23/04/09      | Dermot MacCann |                                   | University of Ulster | Refreshments                | AECOM Lecture                       |   | £10                          | Declined                                      |
| 18/06/09      | Dermot MacCann |                                   | EirGrid              | Invitation – Award Ceremony | Unclear                             |   | £100                         | Declined                                      |
| 29/06/09      | Dermot MacCann |                                   | Gaslink              | Invitation - Concert        | Unclear                             |   | £100                         | Declined                                      |
| 13/08/09      | Dermot MacCann |                                   | NI Energy Holdings   | Invitation to Dinner        | Unclear                             |   | £50                          | Declined                                      |
| 29/09/09      | Shane Lynch    |                                   | NI Energy Holdings   | Annual Dinner               | Annual NIEH Dinner                  |   | £50                          | Accepted                                      |
| 21/10/09      | Shane Lynch    |                                   | SONI                 | Light Refreshments          | Opening of TSO's new Control Centre |   | £10                          | Accepted                                      |

|          |   |             |  |                                   |  |         |                |               |
|----------|---|-------------|--|-----------------------------------|--|---------|----------------|---------------|
| 12/9/09  | Dermot MacCann                                |             | Eirgrid                                    | Invitation – Award Ceremony       | Unclear  |         | £100           | Declined      |
| 21/10/09 | Dermot MacCann                                |             | SONI                                       | Light Refreshments                | Opening of TSO's new Control Centre                        |         | £10            | Declined      |
| 26/9/09  | Dermot MacCann                                |             | IWEA                                       | Small Flask                       | Dermot chaired discussion IWEA hosted                      |         | £5             | To Be Decided |
| 8/10/09  | Shane Lynch                                   |             | Centre for Competitive -ness               | Dinner Meeting                    | Related to UR work   |         | £50            | Accepted      |
| 9/11/09  | Dermot MacCann                                |             | NI Energy Holdings (aka Mutual Energy Ltd) | Light Refreshments                | Related to UR work   |         | £10            | Accepted      |
| 09/11/09 | Dermot MacCann                                |             | NIEH                                       | Reception launch of Mutual Energy | Public opening by the Chair of Assembly Energy Group       |         |                | Accepted      |
| 12/09/09 | Dermot MacCann                                |             | Eirgrid                                    | Invitation to Award Ceremony      | Industry Event   | None    | £100           | Declined      |
| 29/09/09 | Shane Lynch                                   |             | NI Energy Holdings                         | Annual Dinner                     | Industry Event   | None    | £50            | Accepted      |
| 07/10/09 | Shane Lynch                                   |             | SONI                                       | Lunch                             | Update meeting with Robin McCormick                        | None    | £10            | Accepted      |
| 08/10/09 | Shane Lynch                                   |             | Centre for Competitiveness                 | Dinner                            | Developing Regulator Knowledge                             | None    | £50            | Accepted      |
| 11/10/09 | Tanya Wishart, Juliet Corbett, Dermot MacCann |             | Gemserv                                    | Dinner                            | Industry Event to discuss Smart Metering / Demand Response | Current | £60 (£20 each) | Accepted      |
| 21/10/09 | Dermot MacCann, Shane Lynch                   | Shane Lynch | SONI                                       | Light Refreshments                | Opening of New Control Centre                              | None    | £10            | Accepted      |
| 21/10/09 | Dermot MacCann                                |             | IWEA                                       | Small Flask                       | Chairing of IWEA Workshop                                  | None    | £5             | Accepted      |
| 07/12/09 | Shane Lynch                                   |             | Brendan Mulgrew                            | Lunch                             | Discussion of business price increase                      | None    | £10            | Accepted      |

|           |                              |  |                            |                                  |   |      |          |          |
|-----------|------------------------------|--|----------------------------|----------------------------------|---|------|----------|----------|
| 11/12/09  | Tanya Wishart                |  | Eirgrid                    | Lunch                            | Generation Workshop   | None | £10      | Accepted |
| 23/02/10  | Shane Lynch                  |  | Harry McCracken - NIE      | Working Lunch at Deanes, Belfast | Business Discussion   | None | £40      | Accepted |
| 10/03/10  | Shane Lynch                  |  | Andy McCrae                | Annual Dinner                    | Industry Event  | None | £50      | Accepted |
| 25/03/010 | Shane Lynch                  |  | IWEA Conference Organisers | IWEA Reception Dinner            | Will be participating in Panel Discussion at conference on 26th March | None | £50      | Accepted |
| 09/03/10  | Tanya Wishart, Sarah Friedel |  | Smart Grid Ireland         | Working lunch at Europa Hotel    | no other suitable time for the meeting                                | none | £10 each | Accepted |

## Water Directorate

| Date of Offer | Offered to      | Ultimate recipient (if different) | Offered From  | Description of Offer                     | Reason for Offer   | Details of Contracts - current or potential | Est. / actual value of offer £ | Action Taken i.e. Accepted / Refused / Returned |
|---------------|-----------------|-----------------------------------|---|--|--|---|--------------------------------|---|
| 28/04/09      | Jo Aston        |                                   | Sheppard and Wedderburn Sponsors of Cormiston Lecture | Invite to dinner after Cormiston lecture | Lecture relevant to all UK regulators                              | Legal Supplier                              | £75                            | Accepted  |
| 13/10/09      | Lynn McNeill    |                                   | Waterfront Hall                                       | Invite to lunch and tour of facilities   | To encourage use of waterfront facilities among business community | Potential supplier                          | £10                            | Accepted  |
| 06/11/09      | Stephen Magowan |                                   | Ibis Hotel  | Invite to opening of New Hotel           | To see facilities and encourage use by businesses                  | Potential supplier                          | £10                            | Accepted  |
| Nov/09        | Jo Aston        |                                   | Faber Maunsell  | Invite to ICE Christmas Dinner 10.12.09  | Professional industry event  | None  | £69.50                         | Accepted  |
| Jan/10        | Jo Aston        |                                   | Clive Elphick   | To discuss PC10 FD                       | To discuss PC10 FD   | None  | £40                            | Accepted  |

## Corporate Affairs Directorate

| Date of Event or Gift Offered | Offered to   | Ultimate recipient (if different) | Offered From                          | Description of Offer   | Reason for Offer                   | Details of Contracts - current or potential           | Est. / actual value of offer £ | Action Taken i.e. Accepted / Refused / Returned |
|-------------------------------|--------------|-----------------------------------|---------------------------------------|--|------------------------------------|---|--------------------------------|---|
| 05/11/09                      | Greg Irwin   |                                   | Weber Shandwick                       | Invite to Attend Art Gallery Exhibition  | Developing business relationship   | Weber Shandwick Held PR contract 2009/10              | £6-£20                         | Declined  |
| 24/11/09                      | Greg Irwin   |                                   | Weber Shandwick                       | Invite to Attend Awards Dinner   | Developing business relationship   | Weber Shandwick held PR contract for 2009/10          | £20-£30                        | Declined  |
| 28/11/10                      | Donald Henry |                                   | Glenn Roberts Senior Partner Deloitte | Private Screening of A Christmas Carol   | Building a Business Relationship   | None in Corporate Affairs                             | £40                            | Declined  |
| 04/02/10                      | Donald Henry |                                   | A & L Goodbody Northern Ireland       | Annual Drinks Reception and Introduce to everyone the new Partners to the Firm | Building Business Relationship     | On Legal Panel  | £30                            | Declined  |
| 04/12/09                      | Ian Campbell |                                   | Mott MacDonald                        | Lunch  | Discussion with contractor and NIE | Contract for Engineering advice on Landbank Issues    | £10                            | Accepted  |
| 10/02/10                      | Adele Boyle  |                                   | Bill Smyth                            | Lunch at new noodle bar for official opening                                   | Developing business relationship   | No contract but use on an adhoc basis for photography | £15                            | Declined  |
| Feb/10                        | Greg Irwin   |                                   | Stratagem                             | Art Exhibition   | Developing business relationship   | Current   | £20                            | Declined  |
| 10/03/10                      | Sarah Brady  |                                   | AECOM                                 | Energy Institute Dinner  | Developing business relationship   | Current   | £40                            | Declined  |
| 10/03/10                      | Sarah Brady  |                                   | Northern Ireland Energy Agency        | Energy Institute Dinner  | Developing business relationship   | No  | £40                            | Declined  |

## CEO

| Date of Offer | Offered to   | Ultimate recipient (if different) | Offered From  | Description of Offer                       | Reason for Offer  | Details of Contracts - current or potential | Est. / actual value of offer £ | Action Taken i.e. Accepted / Refused / Returned |
|---------------|--------------|-----------------------------------|---|--|---|---|--------------------------------|---|
| 09/04/09      | Iain Osborne |                                   | John Woods<br>Friends of the Earth                                      | Book                                       | Transport to attend IWO Conference 2.04.09  | None  | £8.99                          | Accepted  |
| 21/04/09      | Iain Osborne |                                   | Brian Rowntree<br>Chairman<br>NIHE                                      | Dinner                                     | Recognition of sponsorship of Housing Executive's Home Energy Awards Scheme             | None  | £50                            | Accepted  |
| 29/04/09      | Iain Osborne |                                   | Lesley Gray<br>Shepherd & Wedderburn                                    | Dinner                                     | Attendance at Comiston Lecture in Edinburgh sponsored by Shepherd & Wedderburn          | NIAUR framework panel                       | £50                            | Accepted  |
| 08/06/09      | Iain Osborne |                                   | Stephen Woodhouse<br>Poyry  | Dinner                                     | Attendance at Energy Ireland conference in Dublin                                       | Tariffs Structure                           | £50                            | Declined  |
| 12/06/09      | Iain Osborne |                                   | Dermot Byrne<br>CEO<br>Eirgrid  | People of the Year Awards Ceremony, Dublin | Attendance at Ceremony  | None  | £50                            | Declined  |
| 15/06/09      | Iain Osborne |                                   | Alvin McKinley<br>Chairman<br>CEOs' Forum                               | Dinner Invitation                          | Invited as member of CEOs' Forum  | N/A   | £50                            | Declined  |
| 18/06/09      | Iain Osborne |                                   | John Barry<br>Bord Gais<br>Networks                                     | Golf Outing & Dinner                       | Industry event  | None  | £50                            | Declined  |
| 24/07/09      | Iain Osborne |                                   | Julie Colgan<br>Airtricity  | Corporate Golf Event                       | Industry event  | None  | £50                            | Declined  |
| 24/07/09      | Iain Osborne |                                   | Alan Bissett<br>Carson<br>McDowell                                      | Dinner                                     | Invited to participate in a dinner and discussion with energy industry on heat networks | None  | £50                            | Accepted  |
| 27/07/09      | Iain Osborne |                                   | Philip Remnant<br>Chairman<br>Shareholder<br>Executive<br>HM Government | Shareholder Executive Annual Reception     | Networking event  | None  | £50                            | Declined  |

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|----------|--------------|--|---|---|-------------------------------------|--------------------------|---------|----------|
| 12/08/09 | Iain Osborne |  | Bill Cargo<br>NIEH  | Invitation to<br>NIEH Annual<br>Dinner            | Industry event                      | N/A                      | £40     | Declined |
| 13/08/09 | Iain Osborne |  | RES-Ltd   | Invitation to<br>opening of Grug<br>Wind Farm     | Opening of farm                     | None                     | No cost | Declined |
| 13/08/09 | Iain Osborne |  | David Porter<br>CEO<br>Association of<br>Electricity<br>Producers | Invitation to<br>Annual<br>Reception and<br>Lunch | Industry event                      | None                     | £50     | Declined |
| 19/11/09 | Iain Osborne |  | Ofcom Chair<br>and Members  | Annual Plan<br>Launch &<br>Christmas<br>Reception | Networking with other<br>regulators | None                     | £20     | Accepted |
| 19/11/09 | Iain Osborne |  | Joanne Stuart<br>Chairman IoD                                     | Invitation to<br>Christmas<br>Reception           | Networking event                    | None                     | £20     | Accepted |
| 19/11/09 | Iain Osborne |  | Guy Hands<br>Terra Firma  | Book  | Christmas Gift                      | None                     | £18     | Accepted |
| 19/11/09 | Iain Osborne |  | Jenny Walls<br>A&L Goodbody                                       | Invitation to<br>Drinks<br>Reception              | To meet new Partners                | Legal Panel<br>framework | £20     | Declined |
| 19/11/09 | Iain Osborne |  | Phil Hare<br>Managing<br>Director<br>Poyry Energy<br>Consulting   | Tickets for<br>Rugby match at<br>Twickenham       | Business relationship               | Tariffs<br>Structure     | £100    | Declined |

## Legal Directorate

| Date of offer | Offered to               | Ultimate recipient (if different) | Offered From                              | Description of Offer                       | Reason for Offer             | Details of Contracts - current or potential | Est. / actual value of offer £ | Action Taken i.e. Accepted / Refused / Returned |
|---------------|--------------------------|-----------------------------------|---|--|------------------------------|---|--------------------------------|---|
| 05/03/09      | JP Irvine                |                                   | Richard Murphy,<br>L'Estrange &<br>Brett  | Charity quiz for<br>Action for<br>Children | To attend charity quiz night | Framework<br>Panel                          | £50                            | Accepted  |
| 19/05/09      | JP Irvine/Mary<br>Timlin |                                   | Maria O'Loan,<br>Cleaver Fulton<br>Rankin | Coffee/lunch<br>invite                     | Introduce Claire McNally     | Framework<br>Panel                          | £10                            | Declined  |

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|----------|-----------|--|---------------------------------------|---|---|-----------------------|---------|----------|
| 23/06/09 | JP Irvine |  | Richard Goodfellow, Addleshaw Goddard | Dinner, flights and hotel stay                    | Hosting a networking dinner re energy and renewables contacts | Framework Panel       | £200    | Declined |
| 10/06/09 | JP Irvine |  | Lesley Gray, Shepherd & Wedderburn    | Dinner in Shepherd & Wedderburn offices           | Attending Energy Ireland conference, Dublin                   | Framework Panel       | £50     | Declined |
| 09/07/09 | JP Irvine |  | Chambers of Lord Grabiner QC          | Dinner at Coq d'Argent                            | Hosting a Rooftop Summer Party                                | N/A                   | £40     | Declined |
| 04/09/09 | JP Irvine |  | A&L Goodbodys, Solicitors             | Black tie dinner                                  | Charity event in aid of Muscular Dystrophy                    | Legal Panel Framework | £80     | Declined |
| 29/09/09 | JP Irvine |  | Bill Cargo, NIEH                      | Annual dinner in the Europa Hotel, Belfast        | Build closer working relationships on NIEH matters            | N/A                   | £40     | Declined |
| 09/11/09 | JP Irvine |  | NIEH                                  | Reception to launch Mutual Energy (formerly NIEH) | To familiarize with company rebranding and new structures     | N/A                   | Unknown | Declined |
| 16/03/10 | JP Irvine |  | Chambers of Lord Grabiner QC          | Presentation dinner                               | Networking with London barristers                             | N/A                   | Unknown | Declined |